

America's Seed Fund powered by the National Science Foundation

Small Business Innovation Research (SBIR)/ Small Business Technology Transfer (STTR) program seedfund.nsf.gov

SOLICITATION TOPICS & SUBTOPICS

America's Seed Fund powered by NSF encourages proposals in nearly all technology and market sectors (with the exception of clinical trials and Schedule I controlled substances.) NSF routinely moves Project Pitches and proposals to the topic area that better describes the underlying technical innovation to ensure the best qualified program director manages the review process or project. An exact fit into one of these topics or subtopics is not required on submission.

For proposals due in 2023

For more than 40 years, America's Seed Fund powered by NSF has helped startups and small businesses transform their ideas into marketable products and services. We focus on technologies that are based on discoveries in fundamental science and engineering. Each year, we award more than \$200 million to startups across the country. Our goals are to support technological innovation and help create businesses and jobs in the United States. We are a congressionally mandated program — Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR).

Technology Topic Areas

- Advanced Manufacturing (M)
- Advanced Materials (AM)
- Advanced Systems for Scalable Analytics (AA)
- Agricultural Technologies (AG)
- Artificial Intelligence (AI)
- Augmented Virtual and Mixed Reality (AV/VR/MR)
- Biological Technologies (BT)
- Biomedical Technologies (BM)
- Chemical Technologies (CT)
- Cloud and High-Performance Computing (CH)
- Cybersecurity and Authentication (CA)
- Digital Health (DH)
- Distributed Ledger (DL)
- Energy Technologies (EN)
- Environmental Technologies (ET)
- Human-Computer Interaction (HC)
- Instrumentation and Hardware Systems (IH)
- Internet of Things (I)
- Learning and Cognition Technologies (LC)
- Medical Devices (MD)
- Mobility (MO)
- Nanotechnology (N)
- Other Topics (OT)
- Pharmaceutical Technologies (PT)
- Photonics (PH)
- Power Management (PM)
- Quantum Information Technologies (QT)
- Robotics (R)
- Semiconductors (S)
- Space (SP)
- Wireless Technologies (W)

Advanced Manufacturing (M)

The Advanced Manufacturing topic aims to support emerging innovations in manufacturing with the potential to stimulate the nation's manufacturing sector by improving efficiency, competitiveness and sustainability. Proposed technology should be environmentally friendly, compatible with human and planet health and driven by technological advancements. Regenerative designs using a circular economy approach (a systemic approach to economic development designed to benefit businesses, society and the environment) are encouraged.

- M1. Building and Infrastructure
- M2. Carbon Sequestration
- M3. Cybermanufacturing
- M4. Distributed Manufacturing
- M5. Ecomanufacturing
- M6. Modeling and Simulation
- M7. Natural Resources and Critical Minerals
- M8. Quantum Device Manufacturing
- **M9.** Sustainable Chemical Manufacturing
- M10. Other Manufacturing Technologies

Advanced Materials (AM)

The Advanced Materials topic addresses the development of new and improved materials for a wide variety of commercial and industrial applications. Proposals may focus on the creation of innovative material systems and/or on critical fabrication, processing or manufacturing challenges involved in the successful demonstration and commercialization of novel advanced materials. A broad range of applications areas will be considered as part of this topic.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

AM1. Advanced Engineering Materials

- AM2. Coatings and Surface Modifications
- AM3. Metals and Ceramics
- AM4. Novel Advanced Materials-based Sensors
- AM5. Structural and Infrastructural Materials
- AM6. Other Advanced Materials Technologies

Advanced Systems for Scalable Analytics (AA)

The Advanced Systems for Scalable Analytics topic focuses on innovations needed for building systems that organize and process large and ever-increasing volumes of structured, semi-structured and unstructured data to reveal actionable new insights. It also includes innovative knowledge management and data mining technologies that complement deep learning. Sample topics include data and knowledge management technologies for data acquisition, integration, annotation, governance and provenance; hardware and software for addressing the performance needs of analytical systems; technologies for continual learning in dynamic environments; technologies in data mining, visualization and optimization; and marketplaces for data and models.

These subtopics are only meant to serve as examples. All proposals focused on the development of a new high-risk technical innovation and significant potential commercial and societal impact are welcome to apply, regardless of subtopic.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

AA1. Building Analytical System for Learning from Dynamic Environments

AA2. Data Mining, Machine Learning (Non-deep learning-based), and Reinforcement Learning

AA3. Decision Support and Optimization

AA4. Knowledge and Data Management Technologies

AA5. Marketplaces for Data and Models

- AA6. Novel Visualization Technologies
- AA7. Software Technologies for Scalable Analytical Systems
- AA8. Other Novel Technologies

Agricultural Technologies (AG)

The Agricultural Technologies topic supports innovations enabling farm production ecosystems that support the proper utilization of natural resources. Such technologies may encompass systems-level and multidisciplinary solutions to enable complex agricultural practices that support increased biodiversity balanced with yield production.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

AG1. Agroforestry

- AG2. Farming in Underserved Communities
- AG3. Food Waste Mitigation
- AG4. Harvesting Complex Systems
- AG5. Improved Resilience through Interspecies Interchange
- AG6. Nature-based Solutions
- **AG7.** Polyculture Systems
- AG8. Precision Agriculture
- AG9. Resilient Supply & Distribution
- AG10. Other Agricultural Technologies

Artificial Intelligence (AI)

The Artificial Intelligence topic focuses on cutting-edge technologies in the field of deep learning-based AI systems and AI-based hardware. The recent successes in computer vision, machine translation, natural-language processing and speech recognition have led to widespread use of learning-based systems in production and an unprecedented growth in AI systems that interact frequently with and/or on behalf of humans in highly personalized contexts. This topic especially emphasizes next-generation AI technologies that are not only safe and reliable but also fair, robust against sophisticated adversaries, privacy preserving, and efficient in terms of computational resources, energy, training data size, etc. It also includes cutting-edge hardware technologies needed for sustainable AI (i.e., novel devices and architectures to support the tremendous processing power needed by AI technologies), edge devices (i.e., intelligent systems on a chip for applications such as voice assistants) and AI technologies that lead to better hardware systems.

These subtopics are only meant to serve as examples. All proposals that are focused on developing a new high-risk technical innovation and that have significant potential commercial and societal impact are welcome to apply, regardless of subtopic.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

- AI1. Cognitive Science-based Technologies
- AI2. Computer Vision Based AI Technologies
- AI3. Conversational AI Technologies
- AI4. Language-Based AI Technologies

AI5. Novel AI Hardware Technologies (e.g.: Neuromorphic Computing, High-performance Technologies for AI, Smart and Secure Edge Devices, etc.)

AI6. Sustainable AI Technologies for Low Resource Environments

AI7. Technologies for Trustworthy AI (safe, fair, transparent, privacy-preserving, explainable, and/ or secure)

AI8. Other Novel Technologies

Augmented Virtual and Mixed Reality (AV/VR/MR)

The Augmented Virtual and Mixed Reality (AR/VR/MR) topic focuses on advancing immersive technological experiences for humans grounded in research. Based on the transformative progress made in crafting, perceiving, and interacting with AR/VR/MR across sectors, priority is placed on innovations enhancing shared realities to foster community and collective experiences. Efforts also target advancing hardware and software critical for AR/VR/MR's future, enabling tailored experiences for individuals based in research or lived experience. The initiative encourages exploratory projects aiming for revolutionary AR/VR/MR applications, emphasizing inclusivity, data security and privacy, user safety, accessibility, affordability, adaptability, and environmental consideration. Innovations should be grounded in rigorous research to deliver novel and transformative AR/VR/MR experiences. Proposals are welcomed that redefine AR/VR/MR through innovative approaches with potential societal breakthroughs, regardless of their specific focus within the AR/VR/MR domain.

- AV1. Accessible and Inclusive AR/VR/MR
- AV2. Enhanced UI/UX for Immersive AR/VR/MR
- AV3. Environmentally Sustainable Solutions for AR/VR/MR Design
- AV4. Human-Centric AR/VR/MR Analytics for Collaboration
- AV5. Immersive AR/VR/MR Fostering Shared Experiences
- AV6. Other Augmented Virtual and Mixed Reality Technologies

Biological Technologies (BT)

The Biological Technologies topic covers a wide range of technology areas to advance engineering and science innovation across the biological spectrum. Biological technologies have disrupted decades-old chemical, agricultural and medical products and services, producing a new bioeconomy. Potential breakthroughs in this space are on course to make major socioeconomic contributions by boosting productivity in industrial and agricultural processes, improving human health, and making advances toward environmental sustainability.

Proposed projects should be focused on using or modifying living organisms, systems or biological processes to develop new technologies to produce biochemicals and medical and agricultural products. They may involve bioengineering to improve function in molecules, cells and tissues in humans, plants, animals and microbes. NSF also encourages proposals for enabling new technologies, such as new tools for genomics, proteomics and drug discovery; instruments for biological applications; computational and bioinformatic tools; and new manufacturing technologies for cells, tissues, organs and biologics (with the exception of clinical trials and schedule I substances).

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

BT1. Animal Biotechnology

BT2. Aquaculture

BT3. Bio-Inspired Technologies

BT4. Bioinstruments and Biosensors

- **BT5. Cell and Tissue Engineering**
- **BT6.** Fermentation
- **BT7. Life Science Research Tools**
- **BT8.** Microbiome and Microbial Diversity
- **BT9.** Plant Biotechnology
- BT10. Synthetic Biology and Metabolic Engineering
- **BT11. Other Biological Technologies**

Biomedical Technologies (BM)

The Biomedical Technologies topic aims to support the early-stage development of novel products, processes or services that will enable the delivery of high-quality, economically efficient healthcare.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

BM1. Diagnostics

BM2. Drug Delivery Methods

BM3. Health in Underserved Communities

BM4. Materials for Biomedical Applications

BM5. Medical Imaging

- **BM6.** Monitoring Devices
- BM7. Women's Health
- **BM8.** Other Biomedical Technologies

Chemical Technologies (CT)

The Chemical Technologies topic covers a wide range of technology areas of current and emerging commercial significance to many areas, including the broad chemical industry; food processing and technology; agrochemicals; chemical alternatives and organics; green chemicals; water treatment and separations; advanced catalysts and materials; and biochemicals. Sensing, data and advanced analytics technologies relevant to these fields are also appropriate for this topic area. Beyond improvement on technical specifications, it is important to also clearly identify the competitive landscape of what is currently possible and why the proposed innovation will have an impact commercially and/or from a societal benefit standpoint.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

CT1. Biochemicals

- CT2. Catalysts, Advanced Chemicals and Materials
- CT3. Chemical and Environmental Sensing and Data
- CT4. Food Processing, Chemicals and Agriculture
- CT5. Green Chemicals and Chemical Alternatives
- **CT6.** Separations and Water Treatment
- **CT7. Other Chemical Technologies**

Cloud and High-Performance Computing (CH)

The Cloud and High-Performance Computing (HPC) topic focuses on innovations that result in substantial improvements to cloud computing or high-performance computing platforms. These improvements may be to computing power and efficiency, energy management, data storage, latency, data integrity and availability, cost, or any other factor of importance in such platforms, and may result from software- or hardware-based innovations. These subtopic areas are meant to serve as examples; all proposals with technical innovation and significant commercial potential are welcome, regardless of the specific area of focus of the project.

- **CH1. Algorithms and Applications**
- CH2. Computational Architecture
- CH3. Convergence of AI and Cloud/HPC
- **CH4. Edge Computing**
- CH5. Energy Efficiency and Sustainability
- CH6. In-memory Processing
- **CH7.** Interconnects
- CH8. Middleware
- **CH9.** Performance Monitoring
- CH10. Processing on Encrypted Data
- CH11. Processor Architecture and Design
- CH12. Resilience and Resource Management
- CH13. Other Cloud and High-Performance Computing Technologies

Cybersecurity and Authentication (CA)

The Cybersecurity and Authentication topic focuses on innovations related to the security and integrity of data and data processing and the authentication of people and devices. These subtopic areas are meant to serve as examples; all proposals with technical innovation and significant commercial potential are welcome, regardless of the specific area of focus of the project.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

CA1. Computation on Encrypted Data

CA2. Cryptography, including Post-quantum Cryptography

CA3. Data Privacy and Integrity

CA4. Device Authentication

CA5: Distributed Ledger

- CA6. Encryption, including Homomorphic Encryption
- CA7. Network and Device Security
- CA8. Personal Authentication
- CA9. Secure and Trusted Computing

CA10. Secure Machine-to-Machine Communication

CA11. Security of Cloud and High Performance Computing (HPC) Platforms

CA12. Other Cybersecurity and Authentication Technologies

Digital Health (DH)

The Digital Health topic aims to support the early-stage development of novel devices, components, systems, algorithms, networks, applications or services that will enable the transformation of healthcare from reactive, hospital-centered and indemnity-based to proactive, person-centered, preventive and cost-efficient.

Subtopics are not aimed at supporting clinical trials, the clinical validation of information technologies, or medical devices or studies performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

- DH1. Assistive, Enabling and Rehabilitative technologies
- DH2. Health and Biomedical informatics
- DH3. Health in Underserved Communities
- DH4. Healthcare Workflow, Economics and Delivery
- **DH5. Medical Diagnostics and Devices**
- DH6. Physical, Mental and Behavioral Health
- DH7. Other Digital Health Technologies

Distributed Ledger (DL)

The Distributed Ledger topic area covers a wide range of technology areas of current and emerging commercial significance and impact spanning all areas of distributed ledgers, including blockchains, directed acyclic graphs (DAGs) and related capabilities (cryptography, smart contracts, etc.). Applications of these technologies and approaches across any range of industries and commercial uses are appropriate for this topic area. Proposed projects should involve novel, distinctive approaches and/or disruptive innovations with high potential impact and competitive advantages in their field of use. These subtopic areas are meant to serve as examples of what entrepreneurs may propose in this space; all distributed ledger proposals with technical innovation and significant commercial potential are welcome, regardless of the specific area of focus of the project.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

DL1. Autonomous Systems and Economies, and Smart Contracts

- DL2. Blockchain, DAGs, and Next-Gen Protocols
- DL3. Convergence with Big Data, and Artificial Intelligence
- DL4. Convergence with Internet of Things, Crowdsourcing and Crowdsensing
- DL5. Cryptography, Security, and Cybersecurity
- **DL6.** Digital Assets
- DL7. Distributed Consensus and Fault Tolerance Mechanisms
- DL8. Distributed Ledger in Edge and Cloud Computing
- **DL9.** Distributed Ledger Interoperability
- DL10. Distributed Ledger in Network Architecture and Management
- **DL 11. Financial Technologies**
- DL12. Human-to-Technology Interfaces and Decentralized Applications (dApps)
- **DL13. Scalability Solutions and Accumulators**
- DL14. Trusted Identity and Identity Management
- DL15. Other Distributed Ledger Technologies 15

Energy Technologies (EN)

The Energy Technologies topic covers new energy sources and resources, power generation, energy storage and electronic systems for energy sources used in mobile technologies and offgrid applications. Proposals in all areas of energy generation are welcome, with an emphasis on how the new idea competes favorably with existing solutions. Proposals in carbon conversion and disruptive technologies in conventional resources (natural gas exploration and production) are also welcome. Proposals that focus on the intersection of energy technologies and data are welcome across all areas where integration with energy technology applications is the primary thrust (including computational technologies). Proposals with ideas on nature-inspired processes for sustainable energy solutions and carbon storage, reducing the carbon and resource intensity of hydrocarbon extraction, energy conversion, and their uses are also sought. Proposals involving energy storage from the scale of wearable devices to power plants and energy conversion are encouraged.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

EN1. Advanced Nuclear Energy Tech

EN2. CO2 and Methane Conversion, Petrochemicals, Oil and Gas

EN3. Directed Energy

EN4. Electromagnetics

EN5. Energy Efficiencies and Data Technologies

EN6. Energy Production and Power Generation

EN7. Energy Storage

EN8. Fusion

EN9. Hydrogen Technologies

EN10. Renewable Energy Generation & Storage

EN11. Other Energy Technologies

Environmental Technologies (ET)

Environmental Technologies cover a range of innovations focused on sustainability, high commercial impacts and societal and environmental benefits. The topic covers a variety of technology areas of current and emerging commercial significance that improve environmental and or environmental health outcomes. Sensing, data, and advanced analytics technologies relevant to these fields are also appropriate. Please highlight any aspects of the proposed technology or approach that address a problem without a current solution, or one which is underdeveloped.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

ET1. Climate Change Adaptation, Mitigation and Reversal

- ET2. Digital Ecosystem for the Environment
- ET3. Emission or Waste Reduction and the Circular Economy
- ET4. Environmental and Societal Impact Measurement
- ET5. Environmental Technologies for Achieving Sustainable Development
- ET6. Food, Regenerative Agriculture, and Energy
- ET7. Habitat Conservation, Adaptation and Restoration
- ET8. Social and Behavioral Science Based Environmental Technologies
- ET9. Sustainable Community Systems
- ET10. Water Treatment, Resilience, and Sanitation
- ET11. Other Environmental Technologies

Human-Computer Interaction (HC)

The Human-Computer Interaction (HCI) topic aims to bridge the gap between research-based innovation and practical applications by catalyzing high-risk advancements rooted in research. Proposals should articulate the research basis or lived experience of the innovation, demonstrating an understanding of the scientific and theoretical underpinnings. Proposed innovations should represent technological breakthroughs which are viable and broadly impactful for society. Submissions must leverage foundational research to create groundbreaking and innovative human-computer interactions regardless of specific focus within the HCI subtopic area.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

HC1. HCI to Enhance Human Cognitive Capacity

- HC2. Neuroadaptive Systems
- HC3. Smart Integrated Systems
- HC4. Sustainable HCI Technologies
- HC5. Other Human-Computer Interaction Technologies

Instrumentation and Hardware Systems (IH)

The Instrumentation and Hardware Systems topic addresses the research and development of new and improved instrumentation and related systems for a wide variety of commercial and industrial applications. Proposals in this topic may deal with new instruments for use in scientific, industrial, engineering or manufacturing environments, among others. Systems and tools designed for the purposes of detection, manipulation, characterization, measurement, processing, control or monitoring will be considered. A wide variety of applications areas will be considered as part of this topic.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

IH1. Instrumentation or Hardware Systems for Actuation, Control, and Manipulation

IH2. Instrumentation or Hardware Systems for Detection and Characterization

IH3. Instrumentation or Hardware Systems for Imaging

IH4. Other Instrumentation or Hardware Systems Technologies

Internet of Things (I)

The Internet of Things (IoT) is a rapidly evolving field that involves the interconnection and interaction of smart objects (objects or devices with embedded sensors, onboard data processing capability, and a means of communication) to provide automated services that would otherwise not be possible. IoT is not a single technology, but rather involves the convergence of sensor, actuator, information and communication technologies. Emerging IoT implementations will use smaller and more energy-efficient embedded sensor technologies, more sophisticated actuators, enhanced communications and advanced data analytics to collect and aggregate information. These new tools will enable intelligent systems that understand context, track and manage complex interactions and anticipate requirements. Market verticals that are potentially impacted by innovations in this area include connected cities and homes, smart transportation, smart agriculture, industrial IoT, and retail IoT.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

I1. IoT Communications

I2. IoT Integrated Systems

I3. IoT Sensors and Actuators

I4. Networking

I5. Other IoT Technologies

Learning and Cognition Technologies (LC)

Innovative approaches to learning and cognition development leverage groundbreaking technological advancements rooted in research. These advancements aim to disrupt educational norms, challenging conventional methods of content delivery while remaining firmly anchored in foundational research. They equip individuals for success in emerging industries and undefined roles, bridging the gap between established curricula and the swiftly evolving knowledge landscape. While inherently risky, the success of the innovation holds the potential to reshape education, preparing individuals for a future ripe with transformative prospects. Proposals should build on solid scientific research or lived experience to introduce novel and broadly impactful approaches to learning and cognition. While the subtopics listed provide a framework, we welcome all proposals that showcase creativity, innovation and hold substantial potential for societal impact, transcending specific focus areas.

- LC1. Advanced Inclusive Learning Technologies
- LC2. Ethical AI Frameworks for Advancing Education
- LC3. Research-based Design for Behavioral Health
- LC4. Sustainable Education Models for Future Generations
- LC5. Tools for Critical Information Analysis to Broaden Engagement
- LC6. Other Learning and Cognition Technologies

Medical Devices (MD)

The Medical Devices topic aims to develop novel medical device platforms, introduce innovative medical technologies or translate emerging scientific principles into health practice. Proposals should be considered leading edge innovations, typically based on a discovery, new approach or new scientific principle to medical devices or technologies.

Limited human subject clinical studies may be acceptable if they are performed in support of feasibility or proof-of-concept objectives. The program does not support proposals to conduct clinical trials for sample size calculations, statistically demonstrate safety or efficacy or the development of pre-clinical or clinical-stage drug candidates. Clinical work performed primarily for regulatory purposes or post market surveillance are also not allowed. **Proposals requesting support for clinical trials are noncompliant with the SBIR/STTR solicitation and returned without review**.

MD1. General Medical Devices

MD2. Implantables or Procedures

MD3. Materials, Processes, Prototyping Methods or Enablers

MD4. Monitoring or Instrumentation

MD5. Rehabilitation

MD6. Wearables and External Sensors

MD7. Women's Health

Mobility (MO)

The Mobility topic encourages novel innovations in the land, air, and sea-based movement of goods and people that improve sustainability and resiliency. Proposals responsive to this topic may include technical breakthroughs that address infrastructure and flow issues in global, urban and rural environments. Interdisciplinary and collaborative innovations to address multiple mobility grand challenges are welcome. All proposed innovations must be capable of a sustainable business model.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

MO1. Aviation MO2. Behavioral Transitions MO3. Hypersonic MO4. Infrastructure and Flow MO5. Marine MO6. Personal Transportation MO7. Resiliency and Sustainability MO8. Other Mobility Topics

Nanotechnology (N)

The Nanotechnology topic addresses the creation and manipulation of functional materials, devices and systems with novel properties that are achieved through the control of matter at a submicroscopic scale (from a fraction of nanometer to about 100 nanometers). This includes, but is not limited to, innovative hierarchical nanostructures, nanolayered structures, nanowires, nanotubes, quantum dots, nanoparticles, nanofibers and other nanomaterials and biomaterials and their composite structures.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

N1. Nanotechnology

Other Topics (OT)

For projects that do not seem to fit into one of the other technology topic areas, but still meet the NSF SBIR/STTR goals of supporting research and development of deep technology with commercial viability and the potential to benefit society, please pick Other Topics and subtopic OT1. Project pitches and proposals submitted to Other Topics are typically transferred and reviewed in the topic area that best matches the underlying technical innovation. The program does not reject Project Pitches or proposals based on a non-ideal choice of topic areas. The program routinely moves Project Pitches or proposals internally among topic areas that seem to best describe the underlying technical innovation and to ensure the right program officer and reviewer panel sees the project.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

OT1. Other Topics

Pharmaceutical Technologies (PT)

The Pharmaceutical Technologies topic covers a wide range of technology areas that advance the discovery, formulation, and manufacture of novel drugs, moieties, compounds, products, processes, platforms or services that will improve the selection, quality or price of pharmaceutical and biologic therapies.

The Pharmaceutical Technologies topic is not aimed at supporting or conducting clinical trials, clinical efficacy and safety studies, **the development of pre-clinical or clinical-stage drug candidates**, work on medical devices or schedule I substances, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable if they are performed in support of feasibility, proof-of-concept studies of early-stage technologies and must follow NSF policies on research on human subjects

(<u>https://www.nsf.gov/bfa/dias/policy/human.jsp</u>). **Proposals that request support for clinical studies are noncompliant with the SBIR/STTR solicitations and returned without review.**

The NSF SBIR/STTR program no longer supports the development of specific therapeutic molecules. Drug Discovery and Manufacturing are still supported by the program.

Subtopics are not aimed at supporting clinical trials, the clinical validation of information technologies, or medical devices or studies performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

PT1. Drug Discovery

PT2. Pharmaceutical and Biologic Manufacturing

PT3. Other Pharmaceutical Technologies

Photonics (PH)

The Photonics topic addresses the research and development of new materials, devices, components, and systems that have the potential for revolutionary change in the optics and photonics industries. Photonic technologies can include anything generally operating in or using photons in the electromagnetic spectrum, from gamma rays down to long radio waves. Examples include lasers, various light emitting diode technologies (LED, OLED, QLED), radiation detectors, photonic integrated circuits, optical systems and novel communications technologies.

Subtopics are not aimed at supporting or conducting clinical trials, clinical efficacy or safety studies, the development pre-clinical or clinical-stage drug candidates or medical devices, or work performed primarily for regulatory purposes. Limited studies with human subjects may be acceptable to the extent that they are performed in support of feasibility, such as proof-of-concept studies of early-stage technologies. Proposals that request support for clinical studies will be deemed noncompliant with the SBIR/STTR solicitations and returned without review.

PH1. Advanced Metrology and Sensors

- PH2. Advanced Optical Components and Systems
- PH3. Communications, Information, and Data Storage
- PH4. Lighting and Displays
- **PH5.** Photonic Devices
- PH6. Photonic Energy Conversion
- **PH7.** Photonic Materials
- PH8. Photonic Metamaterials and Plasmonics
- PH9. Quantum Optics and Nanophotonics
- PH10. Silicon Photonics and Photonic Integrated Circuits
- PH11. Other Photonics Technologies

Power Management (PM)

The Power Management topic address the development of novel technologies that enable new power and thermal management solutions. Innovations supported could range from device-scale breakthroughs to embedded or standalone systems or grid-scale technologies.

- PM1. Energy Harvesting Devices and Systems
- PM2. Materials and Devices for Power Electronics
- PM3. Materials and Devices for Thermal Management
- PM4. Novel Power and Thermal Management Sensors
- PM5. Power Electronics Circuits and Control Systems
- PM6. Power Management Infrastructure and Smart Grid Systems
- PM7. Systems for Thermal Management
- PM8. Other Power Management Technologies

Quantum Information Technologies (QT)

This topic focuses on innovations in information and communications technologies that rely fundamentally on quantum mechanical properties and interactions. Typically, such innovations will involve the generation, detection, or manipulation of quantum states to provide faster, more efficient or more secure information processing and communications. Proposals may include innovations at the component, sub-system or system level that result in substantial and usable improvements in the generation, transmission, detection, storage or processing of information, or the security and privacy of information. Proposed innovations must offer the potential for robustness, reliability, scalability and operation at temperatures that are practical within the constraints of the intended application. Innovations at the component and sub-system level should aim for compactness and energy efficiency, consistent with the requirements of the application.

Examples of technology innovations in the quantum computing subtopic could include qubit generation and detection, development of computational models (quantum circuits, etc.), error correction, software, hardware sub-systems and systems and Noisy Intermediate-Scale Quantum (NISQ) computers. Examples of technology innovations in the quantum communications subtopic could include components such as sources, memories, repeaters, detectors, hardware sub-systems and systems and systems, networks, cryptography and key distribution.

- QT1. Quantum Algorithms
- QT2. Quantum Communications
- QT3. Quantum Computing
- QT4. Quantum Sensing and Metrology
- QT5. Quantum Simulation
- **QT6.** Other Quantum Information Technologies

Robotics (R)

The Robotics topic covers robot intelligence and experiential learning, particularly in the areas of high-performance processors or hardware that provides situational awareness and improved artificial intelligence. Innovations in voice, obstacle and image recognition, emotional response and hand-eye coordination are encouraged. We encourage proposals describing projects that borrow features from other animal nervous systems and include biologists, neuroscientists and psychologists on their team to exploit new knowledge in the study of the brain and behavior.

NSF also seeks proposals that address next-generation automation; the flexible and rapid reconfiguration of assembly lines allowing mass customization; the use of advanced control, scheduling, modularization, and decentralization with agile, mobile robotic systems that can enable the cost-effective manufacture of small lot-size products; and on-demand parts manufacturing.

Proposals to support the physical and educational needs of individuals with disabilities (e.g., vision, hearing, cognitive, motor related) are sought. Robotic applications in healthcare, smart drones and drone networks are appropriate. Medical devices focused on providing new capabilities to doctors including surgery; robotic exoskeletons to enhance human strength; personal robots with an emphasis on human-centered end use and interaction, personal caregiving and increased autonomy; future of work; flying taxis; reverse engineering the human brain; robot sense, motion, thought, and emotion; human-robot art; and robots of augmentation are welcome.

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R1. Human Assistive Technologies and Bio-related Robotics

R2. Human-Machine Interfaces and Control/Architecture

R3. Robotic Applications

R4. Robotics in Agile Manufacturing, and Co-Robots

R5. Underground or Underwater Robotics for Low-Visibility, Poor-Connectivity or Hidden Topography

R6. Other Robotics Technologies

Semiconductors (S)

The Semiconductors topic addresses the research and development of new designs, materials, devices and manufacturing systems that have the potential for impactful change in the semiconductor and microelectronics industry.

- **S1. Electronic Devices**
- **S2. Electronic Materials**
- **S3. Integrated Circuit Design**
- S4. Microelectronics Packaging and Systems Integration
- **S5. Novel Semiconductor-based Sensors**
- S6. Processing and Metrology Technology
- **S7. Sustainable Semiconductor Manufacturing**
- **S8.** Wide Bandgap Power Devices and Materials
- **S9.** Other Semiconductor Technologies

Space (SP)

The Space topic seeks revolutionary technologies to be deployed outside Earth's atmosphere to enhance the commercial use of space. Technologies could include innovations that provide cheaper, safer, and more frequent products and solutions for commercial space customers. This topic particularly seeks to support growth-oriented small businesses who have not previously received significant SBIR/STTR funding and are seeking to contribute to economic growth by developing innovative technologies to support the overall emerging space economy.

Proposals should address real capability gaps or enabling technologies for the space industry, anchored with a solid understanding of the challenges of working in space including launch, mass and volume restrictions, the radiation and thermal environment, communications and latency, power, and energy. NSF encourages proposals with revolutionary satellite and vehicle hardware or systems innovations involving propulsion systems, navigation systems, energy collection and power generation systems unique to space environments, andin-space manufacturing systems and services. Proposals focused on Earth imaging and sensing; planetary (other than Earth) physical surveying, mapping, and prospecting services; extraction and processes of water and volatiles (not on Earth); search for extraterrestrial intelligence; space tourism; space weather; interplanetary habitats; and analytic algorithms based on data collected extensively from space-based systems, either alone or in combination with terrestrial systems, are also encouraged.

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SP1. Extra-terrestrial Resource Extraction

- SP2. Geological and Geoclimatic Science Data Technologies
- SP3. Ground Launch Sites and Satellite Operations Technologies
- SP4. In-space Manufacturing Technologies
- SP5. Navigation and Positioning Technologies
- SP6. On-Orbit Technologies
- SP7. Remote Sensing Technologies
- SP8. Spacecraft Development and Manufacturing
- SP9. Space Technologies and Systems

- SP10. Space Transportation and Access
- SP11. Telecommunications Technologies
- SP12. Other Space-related Technologies

Wireless Technologies (W)

The Wireless topic involves next-generation wireless communication technologies requiring systems with high data rates, low costs and that support a wide variety of applications and services while maintaining full mobility, minimum latency, and long battery life. Devices and subsystems that increase data throughput rates via cell density; increased spectrum; multiple input, multiple output (MIMO); and new "antenna" concepts are encouraged. NSF welcomes proposals involving modulation and demodulation techniques for signal generation and reception through spectral efficiency, noise immunity, jamming immunity, and power efficiency; radio frequency (RF) pollution: devices and circuits; processing algorithms/3D spatial control; and high efficiency devices such as micro-TWT (traveling-wave tube), smart dust and inductive couplers. NSF seeks proposals in the areas of spectrum-related research and development activities that improve the efficiency by which the radio spectrum is used, and the ability of all members of the public to access spectrum-related services. Mobile and automotive radar, smart solar panels, on-panel DC-AC converters, openRAN (Radio Access Networks)-related devices and applications and self-testing and self-networking devices are also of interest.

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W1. Communication and Networking Technologies

- W2. Networked Sensors and Sensing
- **W3.** Wireless Devices and Components
- W4. Wireless Systems
- W5. Other Wireless Technologies